



# WELLCARE EDI TRANSACTION SET

## 834 X12N HEALTH CARE BENEFIT ENROLLMENT AND MAINTENANCE ASCX12N (004010X095A1) Companion Guide

Version 4.0

### Outbound 834 Benefit Enrollment Reporting

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## REVISION HISTORY

| Date       | Rev #       | Author       | Description  |
|------------|-------------|--------------|--|
| 12/01/2005 | DRAFT       | G. Webb      | Initial draft  |
| 01/10/2006 | "           | "            | Split the 834 into individual Subcontractors   |
| 10/01/2006 | Final       | GWebb        | Review with final additions  |
| 10/03/2006 | "           | Jmstutz      | Add the Responsible party for Florida Reform   |
| 10/18/2006 | "           | Gwebb        | Review with final additions to file example  |
| 08/28/2007 | Version 2.0 | Kwhittingham | Incorporate changes due to discrepancies found with actual files and Ticket #151220. |
| 06/11/2008 | 2.0         | L Bouabid    | Bring document up to date for 834 OB generic format.                                 |
| 06/27/2008 | 2.0         | K. Tongs     | Final Business Approval  |
| 10/6/2008  | 3.0         | L. Bouabid   | Update for Dual information 2300 loop & R4 address option 2100C                      |
| 09/24/2009 | 4.0         | L. Bouabid   | Update for 834 Daily Change file differences   |

## DOCUMENT APPROVERS

| Role           | Name            | Title                          | Approval | Date |
|----------------|-----------------|--------------------------------|----------|------|
| Business Owner | Claudius Conner | Sr Mgr, Vendor and Service Ops |          |      |
| IT Owner       | Carl Zumbano    | Mgr, Application Development   |          |      |

## CONTACT ROSTER

Trading Partners and Providers ; Questions, Concerns, Testing information please email the following

### EDI Coordinator

|  |  |
|--|--|
| <a href="mailto:EDICoordinator@wellcare.com">EDICoordinator@wellcare.com</a> | Multi group supported email distribution |
|--|--|

### EDI Testing

|  |  |
|--|--|
| <a href="mailto:EDITesting@wellcare.com">EDITesting@wellcare.com</a> | Multi group supported email distribution |
|--|--|

### EDI Dev Support

|  |  |
|--|--|
| <a href="mailto:#Dept-IT-EDIDevSupport@wellcare.com">#Dept-IT-EDIDevSupport@wellcare.com</a> | Multi group supported email distribution |
|--|--|





## INTRODUCTION

WellCare Health Plans, Inc. ("WellCare") has determined the need to use the standard format for outbound Benefit Enrollment and Maintenance for Providers or Trading Partners (TPs). This X12N 834 Benefit Enrollment and Maintenance Companion Guide are intended for use by all WellCare Providers and TPs in conjunction with the ANSI ASC X12N National Implementation Guide. It has been written to assist those Receivers who will be implementing the standard X12N 834 EDI inbound transaction. This WellCare Companion Guide clarifies the HIPAA-designated standard usage and must be used in conjunction with the following document:

### **The 834 Benefit Enrollment and Maintenance Implementation Guides (IG)**

To purchase the IG contact the Washington Publishing company at [www.wpc-edi.com/hipaa/HIPAA\\_40.asp](http://www.wpc-edi.com/hipaa/HIPAA_40.asp) or call 1-800-972-4334.

This WellCare Companion Guide contains data clarifications derived from specific business rules that apply to individual subcontractors and will be extracted and sent by WellCare.

## GENERAL INFORMATION

The outbound enrollment batch file is transmitted from WellCare to the trading partner. The 834 Benefit Enrollment transactions will be sent monthly unless otherwise contracted, with the option of a daily Change file.

### Additional Items of Note

#### Provider Information (Loop 2310)

In compliance with the NPI implementation and guidelines, WellCare will send Provider's applicable NPI number in loop 2310.NM109.

#### Delimiters

A delimiter is a character used to separate two (2) data elements or sub-elements, or to terminate a segment. Delimiters are specified in the interchange header segment, the ISA segment is a 105 byte fixed length record. The data element separator is byte number 4; the component element separator is byte number 105; and the segment terminator is the byte that immediately follows the component element separator. Once specified in the interchange header, delimiters are then used as data element separators elsewhere in the transaction. The following characters are used as data delimiters for all transaction segments:

| CHARACTER  | PURPOSE                |
|------------|------------------------|
| * Asterisk | Data Element Separator |
| : Colon    | Sub-Element Separator  |
| ~ Tilde    | Segment Terminator     |

### Electronic Submission

834 Enrollment files sent by WellCare after January 01, 2006 will be sent electronically using the ANSI ASC X12N 834 format.

### File Transmission

834 Transaction files for production will be sent to Trading Partner specific site using secure File Transfer Protocol; See section FTP Process

### Submission Frequency

The files will be sent per negotiated agreements with WellCare's Trading Partners.

### File Size Requirements

The following list outlines the file sizes by transaction type:

| Transaction Type | Testing Purposes               | Production Purposes            |
|------------------|--------------------------------|--------------------------------|
| 834 formats      | 50-100 member records per file | < 5000 member records per file |

## FTP PROCESS

### Secure File Transfer Protocol

MOVEit® is WellCare's preferred file transfer method of transferring electronic transactions over the Internet. It has the FTP option or online web interface.

Secure File Transfer Protocol (SFTP) is specifically designed to handle large files and sensitive data. WellCare utilizes Secure Sockets Layer (SSL) technology, the standard Internet security, and SFTP ensures unreadable data transmissions over the Internet without a proper digital certificate.

- Registered users are assigned a secure mailbox where all reports are posted. Upon enrollment, they will receive a login and password.

In order to send files to WellCare submitters need to have an FTP client that supports AUTH SSL encryption.

The AUTH command allows WellCare to specify the authentication mechanism name to be used for securing the FTP session. Sample FTP client examples are:

- WS\_FTP PRO® (The commercial version supports automation and scripting)
  - WS\_FTP PRO® has instructions on how to connect to a WS\_FTP Server using SSL.
- Core FTP Lite® (The free version supports manual transfers)
  - Core FTP Lite® has instructions on how to connect to a WS\_FTP Server. Additionally, WellCare can provide setup assistance.

## FILE TEST PROCESS

WellCare will send test files on a case-by-case basis. The Testing Coordinator will contact Vendor for to coordinate a testing schedule.

### Testing

1. WellCare will create test files in the ANSI ASC X12N 834 format.
  - Files will include all multiple member record; adds, changes, terms.
  - Batch files by 834 type and group by month.
  - Set Header Loops for Production:
    - Header ISA15 will be set to "P"
    - Header REF02 will be set to '004010X095A1' (834)
    - Header BGN08 value will be "4" = Verify (full audit)
    - Header BGN08 value will be "2" = Change file
  
2. Each batch file will be named according to the File Naming Standards listed below:
  - Node One equals Enroll834
  - Node Two equals Vendor name (e.g. JoeVendor)
  - Node Three equals Line of Business (i.e. WMR, GMR, OAB, etc.)
  - Node Four equals "AUDIT" or "CHANGE"
  - Node Five equals Date test file is created (CCYYMMDDHHMM)
  - **Example:** Enroll834\_JoeVendor\_WMR\_AUDIT\_200806041115.edi  
Enroll834\_JoeVendor\_WMR\_Change\_200909231012.edi

### Production

For Production processing, WellCare will send a monthly full file 834 Benefit Enrollment to the specified FTP site negotiated with each receiver and if requested also send an 834 daily Benefit Enrollment Change file.

**Naming Standards:** WellCare uses the file name to help track each batch file sent to the SFTP drop off site.

- 1- Name each batch file according to the File Naming Standards listed below:
  - Node One equals Enroll834
  - Node Two equals Vendor name (e.g. JoeVendor)
  - Node Three equals Line of Business (i.e. WMR, GMR, OAB, etc.)
  - Node Four equals "AUDIT" or "CHANGE"
  - Node Five equals Date test file is created (CCYYMMDDHHMM)
  - **Example:** Enroll834\_JoeVendor\_WMR\_AUDIT\_200806041115.edi  
Enroll834\_JoeVendor\_WMR\_Change\_200909231012.edi



## WELLCARE VALIDATION PROCESS

When 834 Enrollment files are created by the WellCare enterprise system, that process calls the HIPAA validation process to ensure every file passes WEDI/SNIP levels. The Data Edit Program will:

- Validate using a HIPAA X12 validation tool.
- Edit the transactions for content against X12 Standards, eligibility history, Medicaid, and valid dates.
  - All dates are in the CCYYMMDD format.
  - All date/times are in the CCYYMMDDHHMM format.
  - Provider Ids are edited per line of business contract.

***See the 834 IG for additional information about the response coding and Addendum C in this Guide.***



## FURTHER ENROLLMENT FIELD DESCRIPTION

Refer to the IG for the initial mapping information the grid below further clarifies additional information WellCare will send.

### Interchange Control Header:

| Pos | Id    | Segment Name                | Req | Max Use | Repeat | Notes  |
|-----|-------|-----------------------------|-----|---------|--------|--|
|     | ISA06 | Interchange Sender ID       | M   | 1       |        | Set to 'WELLCARE'  |
|     | ISA08 | Interchange Receiver ID     | M   | 1       |        | Set to a Unique ID assigned by WellCare for the TP.            |
|     | ISA14 | Acknowledgment Requested    | M   | 1       |        | Set to:<br><b>0</b> – Interchange Acknowledgment not necessary |
|     | ISA16 | Component Element Separator | M   | 1       |        | Set to:<br>: - Colon   |

### Functional Group Header:

|             |                |   |   |                   |
|-------------|----------------|---|---|-------------------|
| <b>GS02</b> | Senders Code   | M | 1 | Set to "WELLCARE" |
| <b>GS03</b> | Receivers Code | M | 1 | Matches ISA08     |

### Transaction Set Header:

|     |             |                                |   |   |   |
|-----|-------------|--------------------------------|---|---|---|
| 010 | <b>ST02</b> | Transaction set Control Number | M | 1 | ST02 will be unique and identical to SE02 |
|-----|-------------|--------------------------------|---|---|---|

### Header:

| Pos | Id           | Segment Name                                | Req | Max Use | Repeat | Notes   |
|-----|--------------|---|-----|---------|--------|---|
| 020 | <b>BGN01</b> | Code identifying purpose of transaction set | R   | 1       |        | Set to:<br><b>00</b> – Original                                   |
| 020 | <b>BGN08</b> | Action Code                                 | R   | 1       |        | Set to:<br><b>4</b> – Audit (full file)<br><b>2</b> – Change file |
| 030 | <b>DTP01</b> | Date/Time Qualifier                         | R   | 1       |        | Set to:<br><b>303</b> – Maintenance Effective (date)              |

### LOOP ID 1000A – Sponsor Name

| Pos | Id          | Segment Name                          | Req | Max Use | Repeat   | Notes   |
|-----|-------------|---------------------------------------|-----|---------|----------|---|
| 070 | <b>N101</b> | Sponsor Entity Identifier Code        | R   |         | <u>1</u> | Set to:<br><b>P5</b> – Plan Sponsor                                 |
| 070 | <b>N102</b> | Sponsor Name                          | S   |         |          | Set to "WELLCARE OF ...", (based upon the Line of Business/vendor). |
| 070 | <b>N103</b> | Sponsor Identification Code Qualifier | R   |         |          | Set to:<br><b>ZZ</b> – Mutually defined                             |
| 070 | <b>N104</b> | Sponsor Identification                | R   |         |          | Federal Taxpayer's Id   |



**Detail:**

| Pos                                       | Id             | Segment Name  | Req | Max Use | Repeat    | Notes   |
|---|----------------|---|-----|---------|-----------|---|
| <b>LOOP ID 1000B – Payer Name</b>         |                |   |     |         |           |   |
|   |                |   |     |         | <b>1</b>  |   |
| 070                                       | <b>N101</b>    | Payer Entity Identifier Code                            | R   |         |           | Set to:<br><b>IN</b> – Insurer  |
| 070                                       | <b>N102</b>    | Payer Name  | S   |         |           | Set to <b>“WELLCARE”</b>  |
| 070                                       | <b>N103</b>    | Payer Identification Code Qualifier                     | R   |         |           | Set to:<br><b>FI</b> – Federal Taxpayer's Id Number   |
| <b>LOOP ID 2000 – Member Level Detail</b> |                |   |     |         |           |   |
|   |                |   |     |         | <b>≥1</b> |   |
| 010                                       | <b>INS01</b>   | Member Name   | R   | 1       |           | Set to <b>Y</b> – Yes   |
| 010                                       | <b>INS02</b>   | Individual Relationship Code                            | R   | 1       |           | Set to: 18 – Self   |
| 010                                       | • <b>INS03</b> | Maintenance Type Code                                   | R   | 1       |           | Set to:<br><b>030</b> – Audit or Compare (full roster)<br><b>001</b> – for Change file Changes<br><b>021</b> – Change file Adds<br><b>024</b> – Change file Terms<br>Set to <b>A</b> – Active |
| 010                                       | <b>INS05</b>   | Benefit Status Code                                     | R   | 1       |           | Set to <b>A</b> – Active  |
| 010                                       | <b>INS06</b>   | Medicare Plan Code                                      | S   | 1       |           | <b>For Medicare only.</b><br>Set to: <b>D</b> – Medicare Part – Unknown   |
| 010                                       | <b>INS08</b>   | • Employment Status Code                                | R   | 1       |           | Set to: <b>FT</b> – Full Time   |
| 020                                       | <b>REF01</b>   | Subscriber Reference Identification Qualifier           | R   | 3       |           | Set to: <b>0F</b> – Subscriber Number   |
| 020                                       | <b>REF02</b>   | Subscriber Reference Identification                     | R   | 3       |           | Set to Subscriber ID Number (Medicaid – Medicare ID)  |
| 020                                       | <b>REF01</b>   | Member Policy Number Reference Identification Qualifier | S   |         |           | Set to: <b>1L</b> – Group or Policy Number  |
| 020                                       | <b>REF02</b>   | Reference Identification                                | S   |         |           | Set to insured Group or Policy Number   |
| 020                                       | <b>REF01</b>   | Client Number Reference Identification Qualifier        | S   | 5       |           | Set to: <b>23</b> – Client Number   |
| 020                                       | <b>REF02</b>   | Reference Identification                                | S   | 5       |           | Set to the Recipient Identification Number  |
| 020                                       | <b>REF01</b>   | Medicare Eligibility Reference Identification Qualifier | S   | 5       |           | <b>For Medicare only.</b><br>Set to: <b>F6</b> – Health Insurance Claim Number (Hic Number)<br>For Medicaid<br>Set to: <b>23</b> – Medicaid   |



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Number

020    **REF02**                      Reference Identification                      S                      5

Set to the member's HIC  
number or Medicaid #



**Detail:**

| Pos                           | Id           | Segment Name                   | Req | Max Use | Repeat | Notes  |
|-------------------------------|--------------|--------------------------------|-----|---------|--------|--|
| LOOP ID - 2100A – Member Name |              |                                |     |         |        | <i>This loop will contain the members Primary Address except for Medicare lines of business – for Medicare only this is the secondary address, see 2100G loop for Medicare Primary address</i> |
| 030                           | <b>NM101</b> | Entity Identifier Code         | R   | 1       |        | Set to: <b>IL</b> – Insured or Subscriber  |
| 030                           | <b>NM102</b> | Entity Type Qualifier          | R   | 1       |        | Set to: <b>1</b> – Person  |
| 030                           | <b>NM103</b> | Name Last or Organization Name | R   | 1       |        | Subscriber Last Name   |
| 030                           | <b>NM104</b> | Name First                     | R   | 1       |        | Subscriber First Name  |
| 030                           | <b>NM105</b> | Name Middle                    | R   | 1       |        | Subscriber Middle Initial  |
| 030                           | <b>NM107</b> | Name Suffix                    | R   | 1       |        | Subscriber Suffix  |
| 040                           | <b>PER01</b> | Contact Function Code          | S   | 1       |        | Set to: <b>IP</b> – Insured Party  |
| 040                           | <b>PER03</b> | Communication Number Qualifier | S   | 1       |        | Set to: <b>TE</b> –Telephone   |
| 040                           | <b>PER04</b> | Communication Number           | S   | 1       |        | Set to Member's Telephone Number   |
| 050                           | <b>N301</b>  | Address Information            | S   | 1       |        | Set to Member's Primary Address Line 1   |
| 050                           | <b>N302</b>  | Address Information            | S   | 1       |        | Set to Member's Primary Address Line 2   |
| 060                           | <b>N401</b>  | City Name                      | S   | 1       |        | Set to Member's Primary City   |
| 060                           | <b>N402</b>  | State or Province Code         | S   | 1       |        | Set to Member's Primary State  |
| 060                           | <b>N403</b>  | Postal Code                    | S   | 1       |        | Set to Member's Postal Code  |
| 060                           | <b>N405</b>  | Location Qualifier             | S   | 1       |        | Set to: <b>CY</b> – County/Parish  |
| 060                           | <b>N406</b>  | Location Identifier            | S   | 1       |        | Set to Member's County   |
| 080                           | <b>DMG01</b> | Date Time Period Format        | S   | 1       |        | Set to: <b>D8</b> – CCYYMMDD   |



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|     |              |  |   |   |  |
|-----|--------------|--|---|---|--|
| 080 | <b>DMG02</b> | Qualifier<br>Date Time Period                    | S | 1 | Set to Member's Birth Date   |
| 080 | <b>DMG03</b> | Gender Code                                      | S | 1 | Set to one of the following:<br><b>F</b> – Female<br><b>M</b> – Male<br><b>U</b> – Unknown |
| 080 | <b>DMG05</b> | Race or Ethnicity Code                           | S | 1 | Set to: <b>7</b> – Not Provided  |
| 150 | <b>LUI01</b> | Member Language Identification<br>Code Qualifier | S |   | Set to: <b>LD</b> - NISO Z39.53<br>Language Codes  |
| 150 | <b>LUI02</b> | Member Language Id. Code                         | S |   | Set to member language<br>from code list   |

**Detail:**

| <u>Pos</u>                                     | <u>Id</u>    | <u>Segment Name</u>    | <u>Req</u> | <u>Max Use</u> | <u>Repeat</u> | <u>Notes</u>   |
|--|--------------|------------------------|------------|----------------|---------------|--|
| <b>LOOP ID - 2100C– Postal Mailing Address</b> |              |                        |            |                |               | <b>This segment only sent when requested by trading partner.</b> |
| 030  | <b>NM101</b> | Entity Identifier Code | S          | 1              |               | Set to 31 – Insured or<br>Subscriber Postal Mailing<br>Address   |
| 030  | <b>NM102</b> | Entity Type Qualifier  | S          | 1              |               | Set to: <b>1</b> – Person  |
| 050  | <b>N301</b>  | Address Information    | S          | 1              |               | Set to Member's Mailing<br>Address Line 1                        |
| 050  | <b>N302</b>  | Address Information    | S          | 1              |               | Set to Member's Mailing<br>Address Line 2                        |
| 060  | <b>N401</b>  | City Name              | S          | 1              |               | Set to Member's Mailing<br>City                                  |
| 060  | <b>N402</b>  | State or Province Code | S          | 1              |               | Set to Member's Mailing<br>State                                 |
| 060  | <b>N403</b>  | Postal Code            | S          | 1              |               | Set to Member's Mailing<br>Postal Code                           |



**Detail:**

| Pos   | Id           | Segment Name                   | Req | Max Use | Repeat | Notes  |
|---|--------------|--------------------------------|-----|---------|--------|--|
| <b>LOOP ID - 2100G – Responsible Person</b> |              |                                |     |         |        |  |
|   |              |                                |     |         |        | <i>For Medicare only this address should be used as the primary address if not sent then default to address in 2100A loop.</i>   |
| 030   | <b>NM101</b> | Entity Identifier Code         | S   | 1       |        | Set to: <b>E1</b> – Person or Other Entity Legally Responsible for a Child (under age 18 or 21 depending on state)<br><br><b>QD</b> – Responsible Party                      |
| 030   | <b>NM102</b> | Entity Type Qualifier          | S   | 1       |        | Set to: <b>1</b> – Person  |
| 030   | <b>NM103</b> | Name Last or Organization Name | S   | 1       |        | Set to Responsible Party's Last Name   |
| 030   | <b>NM104</b> | Name First                     | S   | 1       |        | Set to Responsible Party's First Name  |
| 030   | <b>NM105</b> | Name Middle                    | S   | 1       |        | Set to Responsible Party's Middle Initial  |
| 030   | <b>NM107</b> | Name Suffix                    | S   | 1       |        | Set to Responsible Party's Suffix  |
| 050   | <b>N301</b>  | Address Information            | S   | 1       |        | Set to Responsible Party's Address Line 1  |
| 050   | <b>N302</b>  | Address Information            | S   | 1       |        | Set to Responsible Party's Address Line 2  |
| 060   | <b>N401</b>  | City Name                      | S   | 1       |        | Set to Responsible Party's City  |
| 060   | <b>N402</b>  | State or Province Code         | S   | 1       |        | Set to Responsible Party's State   |
| 060   | <b>N403</b>  | Postal Code                    | S   | 1       |        | Set to Responsible Party's Postal Code   |
| <b>LOOP ID - 2300 – Health Coverage</b>     |              |                                |     |         |        |  |
| 260   | <b>HD01</b>  | Maintenance Type Code          | S   | 1       |        | Set to: <b>030</b> - Audit/Compare<br><b>001</b> – for Change file Change<br><b>002</b> – for Change Void<br><b>021</b> – Change file Adds<br><b>024</b> – Change file Terms |
| 260   | <b>HD03</b>  | Insurance Line Code            | S   | 1       |        | Set to: <b>HMO</b> – Care Management Organ.  |
| 260   | <b>HD04</b>  | Plan Coverage Description      | S   | 1       |        | Set to member's Plan Code.   |

**834 Benefit Enrollment Companion Guide**

Created Date: 12/2005  
Revision Date: 11/18/2009



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|     |              |                                     |   |   |  |
|-----|--------------|-------------------------------------|---|---|--|
| 260 | <b>HD05</b>  | Coverage Level Code                 | S | 1 | Set to: <b>IND</b> – Individual  |
| 270 | <b>DTP01</b> | Health Coverage Date/Time Qualifier | R | 1 | Set to: <b>348</b> – Benefit Begin<br><b>349</b> – Benefit End   |
| 270 | <b>DTP02</b> | Date Time Period Format Qualifier   | R | 1 | Set to: <b>D8</b> – CCYYMMDD   |
| 270 | <b>DTP03</b> | Date Time Period                    | R | 1 | Set to one of the following:<br>Benefit Begin Date<br>Benefit End Date   |
| 290 | <b>REF01</b> | Reference Identification Qualifier  | S | 1 | Only used in cases where both Medicare and Medicaid enrollment may apply   |
| 290 | <b>REF02</b> | Payment Methodology Indicator       | S | 1 | Client reporting category:<br><b>17</b><br>See external documents listed below for details regarding this value: |

[Step Actions for Access  
Claims Payment  
Methodology](#)

[Step Actions for Access  
and Select Dual  
Capitation Claims  
Payment Methodology](#)

Contact Provider Representative with any specific questions.

| <b>LOOP ID - 2310 – Provider Information</b> |              |                        |   |   |  |
|--|--------------|------------------------|---|---|--|
| 310  | <b>LX01</b>  | Assigned Number        | S | 1 | Set to <b>001</b> and increment by 1 for each repetition of the 2310 Loop. |
| 320  | <b>NM101</b> | Entity Identifier Code | R | 1 | Set to: <b>P3</b> – Primary Care Provider                                  |
| 320  | <b>NM102</b> | Entity Type Qualifier  | R | 1 | Set to one of the following<br><b>1</b> – Person<br><b>2</b> – Entity      |

| <b>Detail:</b>                               |              |                               |            |                |               |  |
|--|--------------|-------------------------------|------------|----------------|---------------|--|
| <u>Pos</u>                                   | <u>Id</u>    | <u>Segment Name</u>           | <u>Req</u> | <u>Max Use</u> | <u>Repeat</u> | <u>Notes</u>   |
| <b>LOOP ID - 2310 – Provider Information</b> |              |                               |            |                |               |  |
| 320  | <b>NM108</b> | Identification Code Qualifier | R          | 1              |               | Set to: <b>XX</b> – National Provider ID<br>or SV – where NPI is not |



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found

|  |              |   |   |   |  |
|--|--------------|---|---|---|--|
| 320  | <b>NM109</b> | Identification Code                       | R | 1 | Set to National Provider ID (NPI)  |
| 320  | <b>NM110</b> | Entity Relationship Code                  | R | 1 | Set to: <b>25</b> – Established Patient  |
| <b>LOOP ID - 2320 – Coordination of Benefits</b> |              |   |   |   |  |
| 400  | <b>COB01</b> | Payer Responsibility Sequence Number Code | S | 1 | Set to: <b>U</b> – Unknown   |
| 400  | <b>COB03</b> | Coordination of Benefits Code             | S | 1 | Set to: <b>1</b> – Coordination of Benefits  |
| 405  | <b>REF01</b> | Reference Identification Qualifier        | S | 1 | Set to one of the following:<br><b>6P</b> – Group Number<br><b>A6</b> – Employee   |
| 405  | <b>REF02</b> | Reference Identification                  | S | 1 | 3 iterations of this segment will be sent<br><b>Iteration 1:</b><br>Set to Carrier ID<br><b>Iteration 2:</b><br>Set to Policy Number<br><b>Iteration 3:</b><br>Policy Seq Number |
| 410  | <b>N101</b>  | Entity Identifier Code                    | S | 1 | Set to: <b>IN</b> – Insurer  |
| 410  | <b>N102</b>  | Name                                      | S | 1 | Set to Insurance Company Name  |

## ATTACHMENT A

### Glossary

| Term                                 | Definition  |
|--------------------------------------|---|
| <b>HIPAA</b>                         | In 1996, Congress passed into federal law the Health Insurance Portability and Accountability Act (HIPAA) in order to improve the efficiency and effectiveness of the entire health care system. The provisions of HIPAA, which apply to health plans, healthcare providers, and healthcare clearinghouses, cover many areas of concern including, preventing fraud and abuse, preventing pre-existing condition exclusions in health care coverage, protecting patients' rights through privacy and security guidelines and mandating the use of a national standard for EDI transactions and code sets. |
| <b>SSL</b><br>(Secure Sockets Layer) | SSL is a commonly used protocol for managing the security of a message transmission through the Internet. SSL uses a program layer located between the HTTP and TCP layers. The "sockets" part of the term refers to the sockets method of passing data back and forth between a client and a server program in a network or between program layers in the same computer. SSL uses the public-and-private key encryption system from RSA, which also includes the use of a digital certificate.   |
| <b>Secure FTP (SFTP)</b>             | Secure FTP, as the name suggests, involves a number of optional security enhancements such as encrypting the payload or including message digests to validate the integrity of the transported files to name two examples. Secure FTP uses Port 21 and other Ports, including SSL.  |
| <b>AUTH SSL</b>                      | AUTH SSL is the explicit means of implementing secure communications as defined in RFC 2228. AUTH SSL provides a secure means of transmitting files when used in conjunction with an FTP server and client that both support AUTH SSL.  |
| <b>Required Segment</b>              | A required segment is a segment mandated by HIPAA as mandatory for exchange between trading partners.   |
| <b>Situational Segment</b>           | A situational segment is a segment mandated by HIPAA as optional for exchange between trading partners.   |
| <b>Required Data Element</b>         | A mandatory data element is one that must be transmitted between trading partners with valid data.  |
| <b>Situational Data Element</b>      | A situational data element may be transmitted if data is available. If another data element in the same segment exists and follows the current element the character used for missing data should be entered.   |
| <b>N/U (Not Used)</b>                | An N/U (Not Used) data element included in the shaded areas if the Implementation Guide is NOT USED according to the standard and no attempt should be made to include these in transmissions.  |
| <b>ATTENDING PROVIDER</b>            | The primary individual provider who attended to the client/member during an in-patient hospital stay. Must be identified in 8371, Loop 2310A, REF02 Segment, by their assigned Medicaid/Medicare ID number assigned by State to the individual provider while the client  |

| Term                             | Definition  |           |         |            |                        |         |                       |         |                    |
|----------------------------------|---|-----------|---------|------------|------------------------|---------|-----------------------|---------|--------------------|
|                                  | was in-patient.   |           |         |            |                        |         |                       |         |                    |
| <b>BILLING PROVIDER</b>          | The Billing Provider entity may be a health care provider, a billing service, or some other representative of the provider.   |           |         |            |                        |         |                       |         |                    |
| <b>IMPLEMENTATION GUIDE (IG)</b> | Instructions for developing the standard ANSI ASC X12N Health Care Claim 837 transaction sets. The Implementation Guides are available from the Washington Publishing Company.  |           |         |            |                        |         |                       |         |                    |
| <b>PAY-TO-PROVIDER</b>           | This entity may be a medical group, clinic, hospital, other institution, or the individual provider who rendered the service.   |           |         |            |                        |         |                       |         |                    |
| <b>REFERRING PROVIDER</b>        | Identifies the individual provider who referred the client or prescribed Ancillary services/items such as Lab, Radiology and Durable Medical Equipment (DME). Report this provider in Loop 2310A, REF02 Segment using the Medicaid/Medicare ID number assigned by State to the referring provider.  |           |         |            |                        |         |                       |         |                    |
| <b>RENDERING PROVIDER</b>        | The primary individual provider who attended to the client/member. They must be identified in 83P, Loop 2310B, REF02 Segment, use the Medicaid/Medicare ID number assigned by State to the individual provider while the client was in active status.   |           |         |            |                        |         |                       |         |                    |
| <b>TRADING PARTNERS (TPs)</b>    | Includes all of the following; payers, switch vendors, software vendors, providers, billing agents, clearinghouses  |           |         |            |                        |         |                       |         |                    |
| <b>DATE FORMAT</b>               | All dates are eight (8) character dates in the format CCYYMMDD. The only date data element that varies from the above standard is the Interchange Date data element located in the ISA segment. The Interchange Date data element is a six (6) character date in the YYMMDD format.   |           |         |            |                        |         |                       |         |                    |
| <b>DELIMITERS</b>                | <p>A delimiter is a character used to separate two (2) data elements or sub-elements, or to terminate a segment. Delimiters are specified in the interchange header segment, ISA The ISA segment is a 105 byte fixed length record. The data element separator is byte number 4; the component element separator is byte number 105; and the segment terminator is the byte that immediately follows the component element separator. Once specified in the interchange header, delimiters are not to be used in a data element value elsewhere in the transaction. The following characters are used as data delimiters for all transaction segments:</p> <table border="1"> <thead> <tr> <th>CHARACTER</th> <th>PURPOSE</th> </tr> </thead> <tbody> <tr> <td>* Asterisk</td> <td>Data Element Separator</td> </tr> <tr> <td>: COLON</td> <td>Sub-Element Separator</td> </tr> <tr> <td>~ Tilde</td> <td>Segment Terminator</td> </tr> </tbody> </table> | CHARACTER | PURPOSE | * Asterisk | Data Element Separator | : COLON | Sub-Element Separator | ~ Tilde | Segment Terminator |
| CHARACTER                        | PURPOSE   |           |         |            |                        |         |                       |         |                    |
| * Asterisk                       | Data Element Separator  |           |         |            |                        |         |                       |         |                    |
| : COLON                          | Sub-Element Separator   |           |         |            |                        |         |                       |         |                    |
| ~ Tilde                          | Segment Terminator  |           |         |            |                        |         |                       |         |                    |

## ATTACHMENT B

### File Example

834 Outbound Benefit Enrollment and Maintenance file– single transaction

| Loop  | Transaction Segment                                  |
|-------|--|
| ST    | ST*834*0001~   |
| BGN   | BGN*00*1*20080531001*20080531*023220****4~           |
| DTP   | DTP*303*D8*20070111~                                 |
| 1000A | N1*P5*WELLCARE OF XXXXXX*FI*58-1234567~              |
| 1000B | N1*IN*WELLCARE*ZZ*121234567~                         |
| 2000  | INS*Y*18*030**A***FT~                                |
| 2000  | REF*OF*111014065934~ Medicaid Number/All states      |
| 2000  | REF*IL*XXX000001~ Group or Policy Number             |
| 2000  | REF*23*111111111111~ Client/Subscriber number        |
| 2000  | REF*F6*111014065934~ HIC Number /Florida or Medicare |
| 2100A | NM1*IL*1*NELLON*INDIA*D~                             |
| 2100A | PER*IP**TE*8005947324~                               |
| 2100A | N3*1101 ELM STREET~                                  |
| 2100A | N4*LAGRANGE*OH*302400000**CY*ERIE~                   |
| 2100A | DMG*D8*19970723*F**7~                                |
| 2100A | LUI*LD*ENG~  |
| 2100G | NM1*QD*1*NELLON*SHERIKA*D~                           |
| 2100G | N3*1101 ELM STREET~                                  |
| 2100G | N4*LAGRANGE*OH*302400000**CY*ERIE~                   |
| 2300  | HD*030**HMO*OABMAA*IND~                              |
| 2300  | DTP*348*D8*20070401~                                 |
| 2310  | LX*1~  |
| 2310  | NM1*P3*1*****XX*8287646150*25~                       |
| 2310  | N4*ASHTABULA*OH*44044~                               |
| 2320  | COB*U**1~  |
| SE    | SE*000000021*0001~                                   |

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## ATTACHMENT C

### 997 Interpretation

#### Accepted 997

ISA\*00\* \*00\*5265 \*ZZ\*100000 \*ZZ\*100008  
\*050923\*1126\*U\*00401\*000000166\*1\*T\*~GS\*FA\*77046\*100008\*20031023\*112600\*1660001  
\*X\*004010X097A1~ST\*997\*0001~AK1\*BE\*1887~AK2\*834\*000000905~AK5\*E\*5~AK9\*A\*1\*1\*1~  
SE\*6\*0001~GE\*1\*1660001~IEA\*1\*000000166~

#### Rejected 997

ISA\*00\* \*00\*5264 \*ZZ\*100000 \*ZZ\*100008  
\*050923\*1124\*U\*00401\*000000165\*1\*T\*~GS\*FA\*77046\*100008\*20031023\*112400\*1650001  
\*X\*004010X097A1~ST\*997\*0001~AK1\*BE\*1999~AK2\*837\*000000945~AK5\*R\*7~AK9\*R\*1\*1\*0~  
SE\*6\*0001~GE\*1\*1650001~IEA\*1\*000000165~